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3742  
#155  
9/1/03

DOCKET NO.: 4004-025-30

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

**RECEIVED**

SEP 02 2003  
TECHNOLOGY CENTER R3700

Re: Serial No.: 09/926,519  
Applicant(s): Etienne DEGAND et al.  
Filing Date: November 30, 2001  
For: AUTOMOTIVE GLAZING PANEL WITH SOLAR CONTROL  
COATING COMPRISING A DATA TRANSMISSION WINDOW  
Group Art Unit: 3742  
Examiner: John A. Jeffery

SIR:

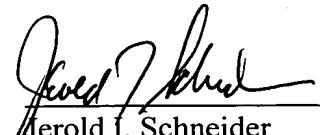
Attached hereto for filing are the following papers:

FEE TRANSMITTAL  
INFORMATION DISCLOSURE STATEMENT  
LIST OF RELATED CASES  
INTERNATIONAL SEARCH REPORT  
FORM PTO 1449  
CITED DOCUMENTS (10)

Our check in the amount of \$ 180.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP

  
Gerald I. Schneider  
Attorney of Record  
Registration No.: 24,765

180.00 US  
007297703 5555HE1 00000042 09926519  
11/15/03



## FEE TRANSMITTAL

		Docket No.	4004-025-30	
		Serial No.	09/926,519	
		Filing Date	November 30, 2001	
		Inventor(s)	Etienne DEGAND et al.	
		Group Art Unit	3742	
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>\$180.00</b>	Examiner	John A. Jeffery

<input type="checkbox"/> Applicant claims small entity status. <input checked="" type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.								FEE CALCULATION (continued)								
								3. ADDITIONAL FEES								
								Large Entity		Small Entity		Fee Description				
2. <input checked="" type="checkbox"/> Check enclosed.								Fee Code	Fee (\$)	Fee Code	Fee (\$)				Fee Paid	
FEE CALCULATION								1051	130	2051	65	Surcharge-late filing fee or oath				
1. BASIC FILING FEE								1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet				
Large Entity		Small Entity		Fee Description				1053	130	1053	130	Non-English specification				
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid	1812	2520	1812	2520	Ex parte reexam. fee			
1001	750	2001	375	Utility filing fee					1251	110	2251	55	1-mo. ext. of time			
1002	330	2002	165	Design filing fee					1252	410	2252	205	2-mo. ext. of time			
1003	520	2003	260	Plant filing fee					1253	930	2253	465	3-mo. ext. of time			
1004	750	2004	375	Reissue filing fee					1254	1450	2254	725	4-mo. ext. of time			
1005	160	2005	80	Provisional filing fee					1255	1970	2255	985	5-mo. ext. of time			
SUBTOTAL (1)					\$0.00			1401	320	2401	160	Notice of Appeal				
2. EXTRA CLAIM FEES								1402	320	2402	160	Appeal Brief				
tot. claims		-	20*	=	0	x	\$18	=	0	1403	280	2403	140	Request for Oral Hearing		
ind. claims		-	3*	=	0	x	\$84	=	0	1501	1300	2501	650	Utility/Reissue Issue Fee		
<input type="checkbox"/> Multiple Dependent Claims		\$280				=		1502	470	2502	235	Design Issue Fee				
Large Entity		Small Entity		Fee Description				1503	630	2503	315	Plant Issue Fee				
Fee Code	Fee (\$)	Fee Code	Fee (\$)					1460	130	1460	130	Petitions to the Commissioner				
1202	18	2202	9	Claims in excess of 20				1806	180	1806	180	IDS Submission			180.00	
1201	84	2201	42	Independent claims in excess of 3				8021	40	8021	40	Assignment				
1203	280	2203	140	Multiple dependent claim, if not paid				1801	750	2801	375	For Filing RCE				
1204	84	2204	42	*Reissue independent claims over original patent				1802	900	1802	900	Expedited Design				
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):								
SUBTOTAL (2)					\$0.00											
* or number previously paid, if greater; For Reissues, see above								SUBTOTAL (3)					\$180.00			

Name	Jerold I. Schneider			Registration No.			24,765	
Signature				Date	28 AUG 03		Telephone	202-861-3900
Name				Registration No.				

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TECHNOLOGY CENTER R3700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Etienne DEGAND et al.

SERIAL NUMBER: 09/926,519

FILED: November 30, 2001



GROUP ART UNIT: 3742

EXAMINER: John A. Jeffery

FOR: AUTOMOTIVE GLAZING PANEL WITH SOLAR CONTROL COATING COMPRISING A DATA TRANSMISSION WINDOW

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- Applicant(s) wish(es) to make of record the documents listed on the attached International Search Report and Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- without fee and within three months of the filing date of the application.
- without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- without fee and with the appropriate certification above.
- without fee and with a new CPA application.
- without fee and with a Request for Continued Examination.
- with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

PIPER RUDNICK LLP

\_\_\_\_\_  
Jerold I. Schneider  
Attorney of Record  
Registration No. 24,765

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#15  
all  
9/4/03

DOCKET NO. 4004-025-30



### LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or Issue Date	Status or Patentee
4004-026-30	09/926,520	November 30, 2001	Pending
4004-025-30*	09/926,519	November 30, 2001	Pending

The cases listed on this Notice of Related Cases include cases which may contain information that is material to patentability. The listing of a case on this Notice should not be taken as an indication or admission that any information contained therein is material. Prior art for each case listed on this Notice may have been cited. **The files corresponding to the listed cases, which are available to the Examiner, may not have not been examined to ascertain the materiality of any prior art therein.** Accordingly, the Examiner is requested to review the file for each case listed on this Notice in order to assess the materiality of such prior art.

\*Present application; listed for information.

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Form PTO 1449 (Modified) <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>LIST OF REFERENCES CITED BY APPLICANT</b> (Use Several Sheets if Necessary)	DOCKET NO.	SERIAL NO.
	4004-025-30	09/926,519
	APPLICANT	
	Etienne DEGAND, et al.	
FILING DATE	GROUP ART UNIT	
November 30, 2001	3742	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,185,812 B1	02/2001	Castle et al.			
	AB	5,846,854	12/1998	Giraud et al.			
	AC	4,213,028	07/1980	Wolf			
	AD	4,196,338	04/1980	Edel			
	AE	4,023,008	05/1977	Durussel			
	AF	4,910,380	03/1990	Reiss et al.			
	AG	6,132,881	10/2000	Hartig et al.			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	AH	DE 19513263 A1	10/1996	Germany		X**
	AJ	EP 0726232 A2	08/1996	WIPO		X**
	AK					
	AL					
	AM					
	AN					

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

AO	
AP	
AQ	<b>RECEIVED</b>
AR	SEP 02 2003
AS	TECHNOLOGY CENTER R3700
AT	

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.